Typology and diachrony of ambifixes: some preliminary observations

Peter Arkadiev

Freiburg Institute for Advanced Studies

alpgurev@gmail.com, https://peterarkadiev.github.io/



Disclaimer

- Most of this presentation is based on my joint work with Yury Lander (e.g. Arkadiev & Lander 2022)
- Not just work in progress, but a pilot study to be developed further thanks to the future (!) funding of the Deutsche Forschungsgemeinschaft and generous hosting by the Potsdam Typology Lab.





Roadmap

- 1. Definition
- 2. Database
- 3. Typology
- 4. Diachronic speculations
- 5. Summary and outlook

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that can occur both as a prefix (i.e. before the root) and as a suffix (i.e. after the root).

The term was used for the first time by Eric Hamp (1959), cf. also Malkiel (1978: 145), Plungian (2000: 88-89), Hall (2000: 536), Mugdan (2015: 268).

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San Francisco del Mar Huave (Huavean, Guatemala; Kim 2008: 324):

```
(1) a. t-a-jch-ius prefix

CMPL-TV-give-1

'I gave'

b. pajk-a-t-u-s
face.up-V-CMPL-ITR-1

'I laid face up'
```

CMPL – completive, ITR – intransitive, (T)V – theme vowel

Some caveats:

- ambifixes should not be confused with circumfixes, which obligatorily contain two parts; however, there are cases where both the prefixal and the suffixal versions of an ambifix co-occur in one form;
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Besleney Kabardian (Northwest Caucasian, Russia; own fieldwork): mobile prefix, not ambifix

- (2) a. $s = -\dot{q} a de k^w a$ 1SG.ABS-CSL-3PL.IO-COM-go-PST
 - b. s-a-qa-de-kw-a 1SG.ABS-3PL.IO-CSL-COM-go-PST a=b 'I came with them.'

ABS – absolutive, CSL – cislocative, COM – comitative applicative, IO – indirect object

Affixes vs. clitics (cf. Spencer & Luís 2012, 2013):

- both are bound morphs, i.e. cannot occur in isolation;
- affixes are positioned with respect to roots, stems or words;
- clitics are positioned with respect to larger constituents (phrases or clauses).

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Macedonian (Indo-European > Slavic; Spencer & Luís 2012: 65):

```
(3) a. Mi=go=dad-e Vera včera

1SG.DAT=3SG.M.ACC=give-AOR.3SG Vera yesterday

'Vera gave me it yesterday.'
```

b. Nosi=mi=go!
bring.IMP.2SG=1SG.DAT=3SG.M.ACC
'Bring it to me!'

AOR – aorist, IMP – imperative

Bulgarian (Indo-European > Slavic; Avgustinova 1997: 50):

- in Bulgarian, they occur in the second position in the clause, hence are clitics;
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- The same reasoning applies, mutatis mutandis, to many so-called "pronominal clitics" in Romance languages, see e.g. Monachesi 2005, Spencer & Luís 2012: Ch. 5.

Ambifix vs. unrelated prefix and suffix:

- identity of function: the prefix and the suffix should express the same featural / semantic content;
- identity of form: the prefix and the suffix should have one phonological form, with only transparent phonological modifications allowed.

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Some borderline cases with respect to identity of function:

- instrumental case suffix -la vs. instrumental applicative prefix la- in Abkhaz and Abaza (Northwest Caucasian);
- verbal subject agreement prefixes vs. object agreement suffixes in Walman (Torricelli, New Guinea) and Uchumataqu (Uru-Chipaya, South America).

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2. Database

Ambifixes have so far received little attention from linguists.

- in theoretical morphology only recently (Embick & Noyer 2001: 576-578; Crysmann & Bonami 2016; Stump 2017, 2022);
- in morphological typology not at all (e.g. not mentioned in Bickel & Nichols 2007: 198–201; Haspelmath & Sims 2010; nor recognised in WALS or Grambank).

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Area	Languages	Genera	Families
Eurasia	24	16	8
Africa	13	9	6
Oceania	5	4	3
Australia	1	1	1
North America	5	4	4
South America	6	5	5



Map created with Lingtypology, Moroz (2017)

Sources:

- grammatical descriptions;
- special publications on morphology and morphosyntax

Some better-represented families:

- Indo-European: 12 languages
- Atlantic-Congo: 8 languages
- West Caucasian: 4 languages

The database includes the following information about each instance of ambifixation:

- single affix vs. a class of affixes;
- function(s) expressed;
- type of conditioning for the choice of orientation;
- (putative) diachronic origins;
- any other relevant information.

Some languages have more than one type of ambifixation differing along some of these parameters:

- Abaza: 3
- Abkhaz, Fula, French, Máku: 2

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Some cases of ambifixation appear to be conditioned by more than one type of factor simultaneously

The orientation of the affix is determined by the phonological environment (e.g. the phonological composition of the root or stem it attaches to, Paster 2006: 253–254).

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- Also: syllable structure (negation in Alabama, Montler & Hardy 1991)
- Other potential factors: stress (so far unattested) and tone (disputable, see Jenks & Rose 2015 on Moro)

Afar (Cushitic, Ethiopia; Fulmer 1991): various verbal affixes occur prefixed to roots beginning in vowels except /a/

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- Yet, they do not seem to be particularly frequently attested.
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The orientation of the affix is determined by the linear morphological structure of the word, i.e. by the presence of other affixes.

- One of the well-known cases is the Lithuanian reflexive Nevis & Joseph 1993, Embick & Noyer (2001: 578–580), Korostenskienė (2017), Šereikaitė (2017, 2024), and Stump (2022: 193-211).
- Suffix if the verb is unprefixed, prefix in the presence of any other prefixes.
- (6) a. domėj-au-si be_interested-PST.1SG-RFL 'I was interested.'
 - b. ne-pa-si-domėj-au NEG-PVB-RFL-be_interested-PST.1SG 'I did not show interest.'

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Murrinhpatha (Daly, Northern Australia; Nordlinger 2010: 334) dual non-sibling marker occurs in prefixal position, but when the latter is occupied by an object marker, it appears as a suffix:

- (7) a. bam-ngintha-ngkardu 3SG.SBJ.NFUT-DU.F-see 'They two (non-siblings) saw him/her'
 - b. bam-ngi-ngkardu-ngintha
 3SG.SBJ.NFUT-1SG.OBJ-see-DU.F
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DU – dual, F – feminine, NFUT – non-future, OBJ – object, SBJ – subject

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The orientation of the affix is determined by the inflectional features of the wordform it occurs in (but cannot be reduced to the presence/absence of any particular [types of] morphemes).

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Catalan object bound pronominals, traditionally called "clitics" (Wheeler et al. 1999: 172-174):

- prefixes in finite forms (except positive imperative);
- suffixes in positive imperative and non-finite forms
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Abkhaz (Northwest Caucasian; Chirikba 2003: 44-45) negation marker -m-:

- stative verbs: suffix in all forms;
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Abkhaz negation (Chirikba 2003: 44-45, -ga- 'take', 3PI>3Sg):

	Finite	Non-finite
Present	də-r-ga-wá- <mark>m</mark>	já-rə- <mark>m</mark> -ga-wa
Aorist	d-rə- <mark>m</mark> -gá-jṭ	já-rə- <mark>m</mark> -ga
Future I	də-r-ga-rá- <mark>m</mark>	já-rə- <mark>m</mark> -ga-ra
Perfect	d-rə- <mark>m</mark> -gá-c-ṭ	já-rə- <mark>m</mark> -ga-c

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	prefixes		suffixes	
	Sg	Pl	Sg	Pl
1	n-	lki-	-n/-n'	-lki'
2	k-	lka-/lik-	-ka'	-lik
2 formal	<i>y</i> -	liy-	- у	-liy
3	h-	lih-	-yi	-hri

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2 formal	<i>y</i> -	liy-	- y	-liy
3	h-	lih-	-yi	-hri

The orientation of the affix depends on the word class of its host.

Agreement markers in Walman (Torricelli, New Guinea; Dryer 2019: 176-176): prefixes with verbs and suffixes with adjectives.

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dog PL-bark
'The dogs are barking.' verb
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Abaza and Abkhaz instrumental marker: (case) suffix with nominals, (applicative) prefix with verbs:

```
Abaza (own fieldwork, textual examples)

(10) a. a-bacaçaxwa-kwa-la s-a-gw-la-r-ca-d
DEF-rod-PL-INS
1SG.ABS-3SG.N.IO-LOC-3SG.F.ERG
beat-DCL

'She beat me with rods.'

b. a-ĉarwá a-zernó a-lá-ſ-ça-r-g-aj-ṭ
DEF-spade
DEF-corn 3SG.N.IO-INS-CSL-LOC-3PL.ERG-
carry-PRS-DCL

'They gather corn with a spade.'
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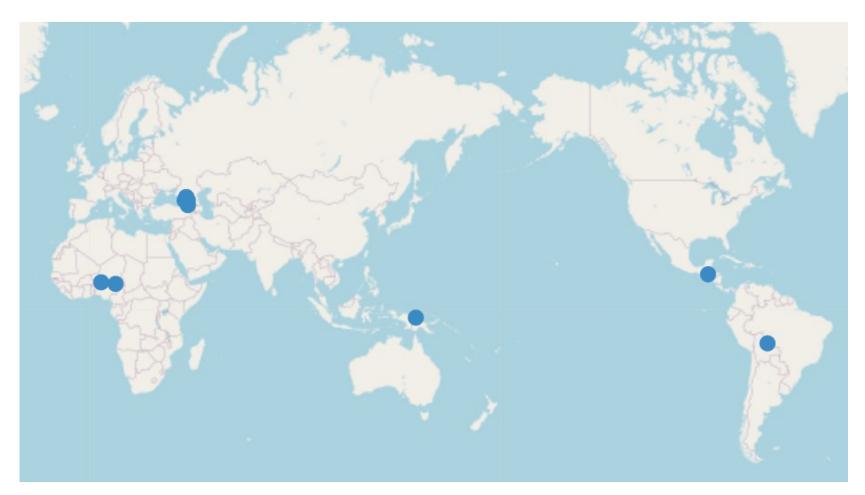
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'They gather corn with a spade.'

A borderline case with respect to the Identity of Function criterion

ABS – absolutive, CSL – cislocative, DCL – declarative, DEF – definite, F – feminine, ERG – ergative, IO – indirect object, LOC – locative preverb, N – neuter



Map created with Lingtypology, Moroz (2017)

The orientation of the affix is determined by lexicallyspecified features of the base (e.g. inflection class).

 Not always easy to distinguish from part-of-speech based conditioning (e.g. stative vs dynamic verbs in Abkhaz different lexical classes or different parts of speech?).

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1Sg	te -kai	ku< te >tsi	ku- te
1Dulncl	tse -kai-nu?u	ku< tsi >tsi	ku- tse -nu?u
1DuExcl	teke -kai	ku< teke >tsi	ku- teke

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English denominal/deadjectival verbs in {en}:

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(11) prefix with Latinate: enlarge, ensure, encourage,
enrage ...
suffix with Germanic: deafen, harden, sharpen,
strengthen ...
both: enlighten, enliven, embolden + embiggen etc
(Klégr 2018)
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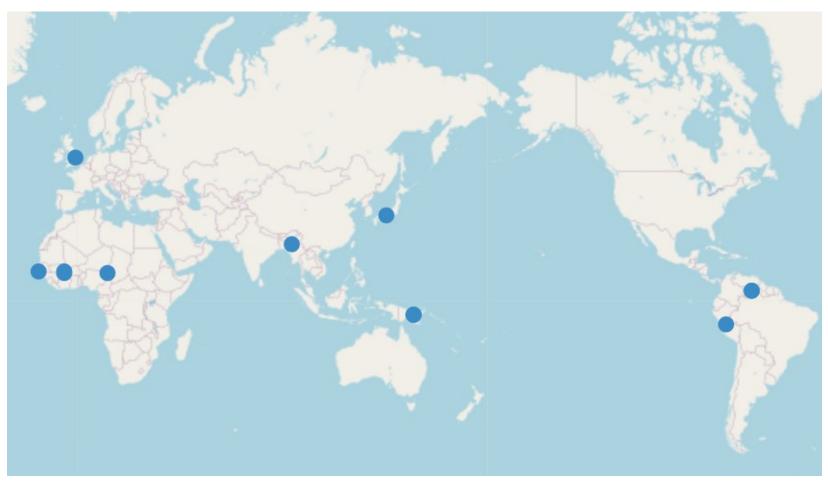
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Map created with Lingtypology, Moroz (2017)

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- Possibly, but still they look different from the cases discussed in 3.3.
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French subject indexes: prefixes in declarative, suffixes in interrogative clauses (+ other cases of inversion).

- (12) a. Il travaillait 'He was working'
 - b. *Travaillait-il?* 'Was he working?'
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"Declarative" vs. "interrogative" feature signalled by the position of the subject marker?

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prefix	suffix	
habitual	progressive	
realis	irrealis	
preverbal nuclear stress	no preverbal nuclear stress	
narrow argument focus	broad focus	

```
Gyumri Armenian (Bezrukov, Dolatian 2020: 3-5):

(13)a. šun-ə vazze-gə progressive
dog-DEF run-IND
'The dog is running.'

b. šun-ə kə-vazze habitual
dog-DEF IND-run
'The dog (habitually) runs.'
```

```
Gyumri Armenian (Bezrukov, Dolatian 2020: 3-5):

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dog-DEF run-IND
'The dog is running.'

b. šun-ə kə-vazze narrow focus
dog-DEF IND-run
'The dog (habitually) runs. / The DOG is running.'
```

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(13)a. šun-ə vazze-gə no preverbal stress dog-DEF run-IND

'The dog is running.'

c. šun-ə tun kə-vazze preverbal stress dog-DEF home IND-run

'The dog is running home.'
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Which feature(s) determine affix orientation?

Ut-Ma'in (Atlantic-Congo, Nigeria; Paterson 2019: 104) gender markers: suffixed to the noun in some syntactic environments and prefixed in others.

```
13)a. mɔ́ŋgɔ̀r-tə àzgɔ̂-sː-tɛ̀ subject mango.fruit-C6 pour-ITR-PRF
'Mango fruit rolled out (of the basket).'
b. wā ká-ːn ōt-mɔ́ŋgɔ̀r object
C1.SBJ pluck-DIST C6-mango.fruit
'He picked mango fruits.'
```

C – gender marker, DIST – distal, ITR – intransitive, PRF – perfect

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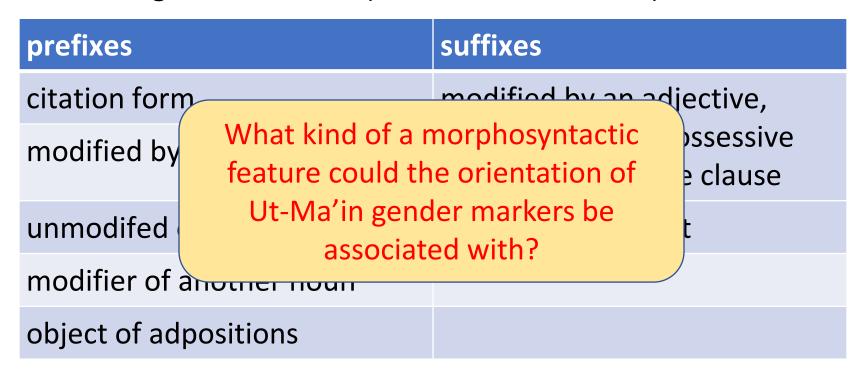
NOT a subject vs. object distinction!

PRF – perfect
```

Ut-Ma'in gender markers (Paterson 2019: 59-61):

prefixes	suffixes
citation form	modified by an adjective,
modified by a numeral	definite marker, possessive pronoun or relative clause
unmodifed object	unmodified subject
modifier of another noun	
object of adpositions	

Ut-Ma'in gender markers (Paterson 2019: 59-61):



- suffixes in inalienable possession
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Guazacapán (Xincan; Rogers 2010: 178, 182, 185)

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Map created with Lingtypology, Moroz (2017)

3.7. Free variation?

Yuqui (Tupi-Guarani, Bolivia; Villafañe 2004: 168; van Gijn & Zúñiga 2014: 152): the focus marker and the past tense marker occur either suffixally or prefixally in apparently free variation:

- (17) a. yagua bia-ño-ke yukia jaguar man-FOC-PST 3sg.kill 'The man killed the jaguar.'
 - b. so-natut-ĩ ño-ke-bia u meat-EMPH-EMPH FOC-PST-man 3SG.eat 'The people ate a lot of meat.'

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3. Typology: summary

- Some of the types are less clear-cut than others.
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- 2. Database
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- 4. Diachronic speculations
- 5. Summary and outlook

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Romance and Balkan Slavic object markers:

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Wackernagel (second position) enclitics →
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("no clitics in first position of the clause") →
ambifixes whose position wrt verb
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- Wanner 1981, 1987, Hinzelin 2007, Pescarini 2021 on Romance
- NB the applicability of Wackernagel's law is subject to qualifications and variation in both early Romance (Wanner 1987, Pescarini 2021) and early Slavic (Pancheva 2005)

stage 1 (BCMS): $X=om(Y) V \sim V=om X$

stage 2 (Bulgarian): X=om (*Y) V Y ~ V=om X

- In the transition from stage 1 to stage 2 clitics become verbadjacent.
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 Conventionalisation of statistical tendencies in the use of different verbal forms in V=om X vs. X=om V clauses as paradigmatic restrictions on the position of verb-adjacent markers (Wanner 1987: 269-270, 278; Bennett 2006; Russi 2008: 78-9).

- Adverbials or adpositions (and probably other word classes, e.g. classifiers) can encliticise to nouns becoming (e.g. case) suffixes and procliticise to / incorporate into verbs becoming (e.g. spatial) prefixes.
- The few examples I am aware of come from the languages of the Caucasus, but I am sure that this pathway is attested more broadly.
- Cf. Kuryłowicz (1964: 171–178), Pinault (1995) on Indo-European spatial adverbs developing into adpositions and preverbs.

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```
(18)a. a-ž'ahwa a-la sə-jə-sə-jṭ
DEF-hammer 3SG.IO.N-with 1SG.ABS-3SG.M.IO-hit-DCL
'I hit him with the/a hammer.' (adposition)
```

```
ABS – absolutive, DCL – declarative, DEF – definite, M – masculine, N – non-human, IO – indirect object
```

```
(18)b. a-\check{z}'ah^wa-la s\partial-j\partial-s\partial-jt

DEF-hammer-INS 1SG.ABS-3SG.M.IO-hit-DCL

'I hit him with the/a hammer.'

(enclitic > case suffix)
```

```
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```
(18)a. a-ž'ahwa a-la sə-jə-sə-jṭ
DEF-hammer 3SG.IO.N-with 1SG.ABS-3SG.M.IO-hit-DCL
'I hit him with the/a hammer.' (adposition)
```

```
ABS – absolutive, DCL – declarative, DEF – definite, M – masculine, N – non-human, IO – indirect object
```

```
(18)c. a-\check{z}'ah^wa s-\check{a-la}-j\partial-s\partial-j\dot{t}
DEF-hammer 1SG.ABS-3SG.IO.N-INS-3SG.M.IO-hit-DCL
'I hit him with the/a hammer.'
(incorporation > applicative prefix)
```

```
ABS – absolutive, DCL – declarative, DEF – definite, M – masculine, N – non-human, IO – indirect object
```

An element hosting a prefix resp. suffix can be suffixed resp. prefixed to a host, resulting in so-called "counterposed affixes" (Stump 2022):

```
(19)a. m-X \sim X m-Y > m-X \sim X-m(-y)
b. X-m \sim Y-m X > X-m \sim (y-)m-X
```

Ono (Trans-New-Guinea > Finisterre-Huon, New Guinea; Wacke 1930-31: 174, 178), cf. Suter (2012, 2018) for a comparative and historical perspective.

- A limited number of verbs take object prefixes.
- Two of such verbs, -an- 'see' and -in- 'give', productively suffix to non-inflected verbs and serve as markers of P and R indexing.

Ono (Wacke 1930-31: 174-5, 178-9), present tense 3Sg subject:

	'see'	'protect'
1Sg	<mark>n-an</mark> -maike	ware-nan-maike
2Sg	g-an -maike	ware-gan-maike
1Pl	<mark>ŋ-on</mark> -maike	ware-non-maike

Ono (Wacke 1930-31: 174-5, 178-9), present tense 3Sg subject:

	'give'	'cook for smb'
1Sg	<mark>n-in</mark> -maike	mire-nin-maike
2Sg	g-in- maike	mire-gin-maike
1PI	ŋe-bon- maike	mire-ŋebon-maike

• In nominals:

No doubtless examples yet, but nominal gender suffixes in a number of Niger-Congo languages with generally prefixing gender marking (e.g. Fula, Mc Laughlin 2015, or Akebu, Makeeva & Shluinsky 2018) might stem from encliticised pronouns, see Creissels (to appear: fn 11) for this scenario.

Roadmap

- 1. Definition
- 2. Database
- 3. Typology
- 4. Diachronic speculations
- 5. Summary and outlook

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 - Is there any correlation with other characteristics of morphology (e.g., prefixing, absence of fusion, affix invariance, etc.)?

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- A remarkable degree of cross-linguistic variation is observed in the factors that determine the orientation of ambifixes, from phonotactics through various types of morphology all the way to semantics and syntax, with many intermediate cases in between.
 - What principles influence which explanation we choose?
 - What do various types of ambifixation tell us about the nature of morphology and its interfaces?

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 - O Why do some ambifixes remain while others turn into simple prefixes or suffixes?
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Thank-you for your-attention!

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